



ANNUAL REPORT

2016

## FROM OUR CEO



**O**n my first day, I was drawn from my desk by a swell of voices and footsteps on the stairwell outside my door. Dozens of parents and grandparents were dropping off their children for a day of learning.

Later, at one of our senior centers, I spoke with our participants — those that weren't busy taking a ballroom dancing class or planning the next party.

In the evening, I went to an English language class and soaked in the faces of our avid students: immigrants determined to make a great life for their families.

So went a routine day at University Settlement.

It is a testament to the principle that no matter your age, background, or economic situation, you deserve the opportunity to live a fulfilling and joyful life.

It's not unlike the experience my father had when he came to New York City. Or that of thousands of others since we opened 131 years ago.

University Settlement tackles poverty with expertise and determination. Every year, we offer 30,000 New Yorkers possibilities that otherwise would be out of reach: accessible mental health care, quality early childhood education, supportive after-school and summer camps, eviction prevention, literacy, and more.

Each year, our impact grows. This year we:

- expanded our eviction prevention program, with its 95% success rate, to new neighborhoods
- increased the number of children in our early childhood mental health care program, achieving earlier intervention for better results
- received a competitive \$1.3 million grant to help youth avoid high-risk behavior, using our evidence-based program of in-home support, parenting groups, and counseling.

This is a fraction of our impressive accomplishments.

Your partnership is essential to our efforts — both those that can be measured, and those that touch upon something far greater than numbers.

Thank you.

Eric Weingartner  
Chief Executive Officer

## FROM OUR EXECUTIVE DIRECTOR



**W**e believe that everyone should have a place to call home.

Despite economic gains, the housing crisis in our city is dire. The average household spends 50% of their income on rent. More than 60,000 New Yorkers live in shelters. An estimated 100,000 live on the edge.

The landscape will become far worse if current federal proposals prevail. Expected cuts in HUD grants will drastically strain local budgets.

We focus on *preventing* homelessness. That's because losing one's home can cause severe trauma and destabilize communities.

And we are successful: in 95% of our cases, people stay in their homes. One reason is that our work is multi-faceted.

That means working with families on financial literacy and English

language skills. Connecting parents to after-school or child care. Getting repairs and bringing tenants together as advocates to speak about their concerns. Or helping people address mental health issues or access benefits.

It requires accompanying people to housing court. Or partnering with city agencies to intervene with tenants *before* the court is involved.

All of these we do.

Housing a family in a shelter for one year costs \$37,000. We keep families in their homes for \$2,000–\$3,000.

The real gain, however, is in preventing the disaster that is homelessness.

We've had many accomplishments this last year: expanding our eviction prevention program, protecting public housing, and fighting for the right to counsel in housing court.

The perils to low-income families are increasing. The value of helping them stay in their homes can never be forfeited. Our partnership can prevent the unthinkable.

Thank you for your support.

Melissa Aase  
Executive Director



# Our Impact in 2016

A revolutionary approach of working with communities to build the solutions they need to the greatest problems they face.

**33,000**

people of all ages relied on our expert, community-based programming.

**2,000**

children ages 0-5 came to our childcare centers, building the foundation for long-term academic success.

**4,500**

kids under 18 found mentors and learned new skills at our after-school and summer camp programs.

**95%**

of the 2,100 people seeking eviction prevention were kept in their homes.

**500**

students learned spoken and written English in our Adult Literacy program.

**31**

locations operated in Manhattan and Brooklyn.

**92%**

met or exceeded school readiness targets as they approached kindergarten.

**2,000**

children, adults, and seniors received mental health support.

**2,500**

older adults visited our 4 senior centers for education, nutrition, recreation, and wellness.

**70%**

measurably improved their proficiency after one course.





## Jose & Wander, 15

Imagine moving from a village in the Dominican Republic to a hard-pressed neighborhood in New York without knowing English. That's what happened to twins Jose and Wander four years ago.

They were behind when they started school and were separated into different grades, further isolating the boys. They didn't know if they'd ever finish school, and their mother worried for their futures.

They were lost until they found our community center at Campos Plaza.

Our staff worked diligently to get them back on track: teaching English, tutoring, and nurturing them through their difficult journey. "When we're upset, people here take care of us. They're like our family," Jose said.

**"It was hard to not feel alone."**

Rafael especially went above and beyond, spending hours on the phone with teachers and the twins' mother — who works long hours and is still learning English — to advocate for them at their school. He pushed Jose and Wander to do better, to make good on their mom's promise for a better life here. "We really look up to Rafi," Wander said of their mentor.

Now they're doing well in school and have a circle of friends to play basketball and soccer with.

But life could have been different: "It was so hard — who knows where we'd be without this place, if we'd even finish school or find our way?"



## Nian, 44

When Nian moved from China ten years ago, her limited knowledge of English made life incredibly difficult. She struggled to find quality work, use the subway, and even buy groceries. Her dream of becoming a teacher seemed impossible.

But she was determined to make New York her home: she took five classes in our Adult Literacy program in just three years so she could find a better job for her and her family. But classes with US go beyond reading or writing.

"They taught me job skills, like making a resume. So much." It's challenging work, but it's paying off: she's a part-time member of

our Early Head Start team now, and she's got bigger plans on her horizon.

She hopes to achieve her teaching dreams soon at our Early Childcare Center. It's another program that's dear to her. Because her daughter Cecilia is enrolled, Nian has the time to take her own classes and work towards her dream.

Now, Nian can share more with Cecilia — like reading together. She's also grown more confident. Her teacher Jon says: "She's had the courage to speak to others about her experience, in a language she's just learning. We've asked a lot of her and she always delivers."

**"I love to learn English because I love New York. I enjoy my life here."**

# OUR FINANCIAL REPORT

## BALANCE SHEET

Years Ending June 30	2016	2015
<b>ASSETS</b>		
Cash and cash equivalents	\$ 495,661	\$ 1,317,439
Investments	3,035,947	3,264,672
Government grants & contracts receivable	5,176,502	4,441,718
Pledges receivable	474,500	413,800
Prepaid and other assets	349,948	403,188
Land, buildings and equipment (net)	26,259,837	20,092,817
<b>Total Assets</b>	<b>\$35,792,395</b>	<b>\$29,933,634</b>
<b>LIABILITIES</b>		
Accrued vacation	\$ 482,692	\$ 478,883
Accounts payable and accrued expenses	1,711,779	2,791,146
Advances under government grants	456,880	654,056
Amounts held on behalf of others	92,848	91,441
Deferred income	47,025	19,375
Due to related party	1,000,000	—
<b>Total Liabilities</b>	<b>\$ 3,791,224</b>	<b>\$ 4,034,901</b>
<b>NET ASSETS</b>		
Unrestricted:		
Undesignated for general use	\$ 503,504	\$ 701,174
Creative Center general use	327,348	327,348
Park Slope general use	753,352	—
Board designated	395,334	665,508
Net investment in fixed assets	26,259,837	20,092,817
Temporarily restricted	1,689,989	2,040,079
Permanently restricted	2,071,807	2,071,807
<b>Total Net Assets</b>	<b>\$32,001,171</b>	<b>\$25,898,733</b>
<b>Total Liabilities &amp; Net Assets</b>	<b>\$35,792,395</b>	<b>\$29,933,634</b>

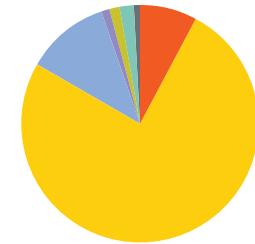
On June 24, 2016, University Settlement received from The Door an interest free loan of \$1,000,000 to cover end of the year regular expenses.

## STATEMENT OF ACTIVITIES

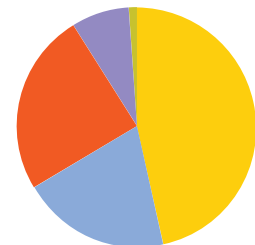
Years Ending June 30	2016	2015
<b>SUPPORT &amp; REVENUES</b>		
Private support:		
Individuals, corporations, foundations	\$ 2,661,156	\$ 2,966,103
Government grants and contracts	25,588,590	22,152,110
Program fees	3,839,073	3,792,888
Hospital fees	363,419	309,356
Management fee	499,710	485,160
Rental income	695,246	644,225
Miscellaneous income	211,292	131,385
<b>Total Operating Support &amp; Revenues</b>	<b>\$33,858,486</b>	<b>\$30,481,227</b>
<b>EXPENSES</b>		
<b>Program Services</b>		
Early Childhood	\$ 16,020,135	\$ 13,698,326
Youth Services	7,003,912	6,050,561
Family & Counseling Services*	8,571,791	8,234,370
<b>Total Program Services</b>	<b>\$31,595,838</b>	<b>\$27,983,257</b>
<b>Supporting Services</b>		
Management & general	\$ 2,668,143	\$ 2,012,069
Fundraising	374,494	440,156
<b>Total Supporting Services</b>	<b>\$ 3,042,637</b>	<b>\$ 2,452,225</b>
<b>Total Expenses</b>	<b>\$34,638,475</b>	<b>\$30,435,482</b>
<b>NON OPERATING REVENUES</b>		
Park Slope contribution	\$ 7,036,152	\$ —
Investment income	(153,725)	41,876
<b>Total Non-Operating revenues</b>	<b>\$ 6,882,427</b>	<b>\$ 41,876</b>
Change in net assets**	\$ 6,102,438	\$ 87,621
Net assets, beginning of year	25,898,733	25,811,112
<b>Net assets, end of year</b>	<b>\$32,001,171</b>	<b>\$25,898,733</b>

\*In addition to all mental health services, Family and Counseling Services program expenses include the Performance Project, Adult Literacy, Project Home, Older Adults, the Houston Street Community Center, and the Creative Center

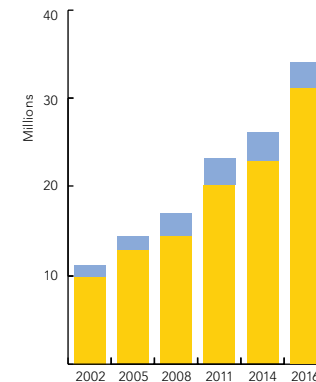
\*\*Net of depreciation.



Private support (7.86%)  
 Government grants and contracts (75.58%)  
 Program fees (11.34%)  
 Hospital fees (1.07%)  
 Management fee (1.48%)  
 Rental income (2.05%)  
 Miscellaneous income (0.62%)



Early Childhood (46.25%)  
 Youth Services (20.22%)  
 Family & Counseling Services (24.75%)  
 Management & general (7.70%)  
 Fundraising (1.08%)



**Historical Growth**  
 Programs  
 Administration

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